FORM PTO-1390 (Modified) (REV 11-2000) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER	
310.1028	
U S APPLICATION NO (IF KNOWN, SEE 37 CFR	
10/069329	

INTERNATIONAL APPLICATION NO	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/NL00/00585	24 August 2000	24 August 1999

TITLE OF INVENTION
Method For Making A Dental Element

APPLICANT(S) FOR DO/EO/US
Feenstra, Frits Kornelis

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S C. 371
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C 371(f)). The submission must include itens (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
   a. ☒ is attached hereto (required only if not communicated by the International Bureau).
   b. ☒ has been communicated by the International Bureau.
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
   a. ☐ is attached hereto.
   b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C 371 (c)(3))
   a. ☒ are attached hereto (required only if not communicated by the International Bureau).
   b. ☒ have been communicated by the International Bureau
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U S C 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

U.S. APPLICATION NO (IF KNOWN, SEE 37 CFR	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
10/069329	PCT/NL00/00585	310.1028

24. The following fees are submitted:.	CALCULATIONS PTO USE ONLY
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :	
☐ Neither international preliminary examination fee (37 CFR 1 482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **$1040.00**	
☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **$890.00**	
☐ International preliminary examination fee (37 CFR 1 482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **$740.00**	
☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) **$710.00**	
☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) **$100.00**	
ENTER APPROPRIATE BASIC FEE AMOUNT =	**$890.00**
Surcharge of **$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e))	**$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	20 - 20 =	0	x $18.00	**$0.00**	
Independent claims	1 - 3 =	0	x $84.00	**$0.00**	
Multiple Dependent Claims **(check if applicable)**.			☐	**$0.00**	
TOTAL OF ABOVE CALCULATIONS =				**$890.00**	
☐ Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2				**$0.00**	
SUBTOTAL =				**$890.00**	
Processing fee of **$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). +				**$0.00**	
TOTAL NATIONAL FEE =				**$890.00**	
Fee for recording the enclosed assignment (37 CFR 1 21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) **(check if applicable).** ☐				**$0.00**	
TOTAL FEES ENCLOSED =				**$890.00**	
				Amount to be: refunded	$
				charged	$

a. ☐ A check in the amount of _____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. **50-0217** in the amount of **$1,020.00** to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **50-0217** A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Roberts & Mercanti, L.L.P.
EDC II
105 Lock Street, Suite 203
Newark, New Jersey 07103
Phone: (973) 621-0660
Fax: (973) 621-0774

SIGNATURE

Michael N. Mercanti
NAME

33,966
REGISTRATION NUMBER

February 22, 2002
DATE

310.1028

UNITED STATES PATENT & TRADEMARK OFFICE

	Examiner:	Unknown	Art Unit:	Unknown
Re:	Application of:	FEENSTRA, Frits Kornelis		
	Serial No.:	To be assigned		
	Filed:	herewith		
	For:	**METHOD FOR MAKING A DENTAL ELEMENT**		

PRELIMINARY AMENDMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

February 22, 2002

Sir:

Prior to the examination, please amend the above-identified patent application as follows:

IN THE CLAIMS:

Please amend claim 3 as follows:

3. (Amended) A method according to claim 1, wherein the shape and dimensions of the dental element are measured in a patient while using an optical scan technique, preferably a laser technique.

Please amend claim 5 as follows:

5. (Amended) A method according to claim 1, wherein layers of a suitable material are successively applied onto each other by three-dimensional printing and wherein each layer is bonded at desired positions to a preceding layer thereby allowing the removal of excess, non-adhering material.

Please amend claim 8 as follows:

8. (Amended) A method according to claim 6 , wherein the binder is selected from the group of colloidal silica, polyvinyl acetate (PVA), starch adhesives, acrylates, polyvinyl alcohol, polyethylene oxide (PEO), ethylenevinyl acetate (EVA) and derivatives thereof.

Please amend claim 9 as follows:

9. (Amended) A method according to claim 6, wherein the powder is selected from the group of ceramic materials, such as SiO_2, Al_2O_3, K_2O, Na_2O, CaO, Ba_2O, CrO_2, TiO_2, BaO, CeO_2, La_2O_3, MgO, ZnO, Li_2O and combinations thereof, and metals, such as alloys of gold, platinum, palladium, nickel, chromium, iron, aluminum, molybdenum, beryllium, copper, magnesium, cobalt and tin, and combinations of metals and ceramic materials.

Please amend claim 10 as follows:

10. (Amended) A method according to claim 6, wherein the layers are applied with a doctor blade.

Please amend claim 11 as follows:

11. (Amended) A method according to claim 6, wherein the powder is applied in dispersed form.

Please amend claim 14 as follows:

14. (Amended) A method according to claim 11, wherein at least one layer differs in composition from the others.

Please amend claim 15 as follows:

15. (Amended) A method according to claim 12, wherein the powder is locally applied with a computer-controlled nozzle.

Please amend claim 16 as follows:

16. (Amended) A method according to claim 12, wherein at least one of the powders has an average particle size less than 50 nm.

Please amend claim 17 as follows:

17. (Amended) A method according to claim 1, wherein the dental element is sintered at a temperature of 400-800 °C for a period between 10 minutes and 3 hours.

Please amend claim 19 as follows:

19. (Amended) A method according to claim 1, wherein the dental element is additionally shaped by grinding, filing, polishing, sanding, blasting or treatment with a ball bed.

Please amend claim 20 as follows:

20. (Amended) A dental element obtainable by a method according to claim 1.

REMARKS

This amendment is being submitted to remove all multiple dependent claims which were presented during the international phase of the PCT for this application. Attached hereto is a marked-up version of the changes made to the claims by the preliminary amendment. The attached appendix is captioned "**Version with markings to show changes made**."

The Examiner is alerted to the fact that the claims amended herein are the same as those submitted by Applicant during the international phase of the PCT on November 5, 2001 and examined by the International Preliminary Examining Authority as part of preparing the International Preliminary Examination Report.

It is respectfully submitted that no new matter has been entered and that the present application is in all respects complete and in condition for favorable consideration.

If the Examiner has any questions regarding the amendment presented herein, it is requested that the Examiner contact the undersigned at the telephone number shown below.

An early and favorable action on the merits is earnestly solicited.

Respectfully submitted,

ROBERTS & MERCANTI, L.L.P.

Michael N. Mercanti
Reg. No. 33,966

ROBERTS & MERCANTI, L.L.P.
105 Lock Street, Suite 203
Newark, New Jersey 07103
Phone: 973-621-0660
Fax: 973-621-0774

"Express Mail" mailing label no EL 869 002 192 US
Date of Deposit February 22, 2002
I hereby certify that this correspondence and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1 10 on the date indicated above, in an envelope addressed to "Assistant Commissioner for Patents, Washington, DC 20231"

ROBERTS & MERCANTI, L.L.P.

By: _____
Michael N Mercanti

K:\wpdocs\PATENT\310 Vereenigde\1028\prelim amendmt wpd

310.1028

UNITED STATES PATENT & TRADEMARK OFFICE

Examiner: Unknown Art Unit: Unknown

Re: Application of: FEENSTRA, Frits Kornelis

Serial No.: To be assigned

Filed: herewith

For: **METHOD FOR MAKING A DENTAL ELEMENT**

APPENDIX I
VERSION WITH MARKINGS TO SHOW CHANGES MADE

IN THE CLAIMS:

Claim 3 has been amended as follows:

3. (Amended) A method according to claim 1 [or 2], wherein the shape and dimensions of the dental element are measured in a patient while using an optical scan technique, preferably a laser technique.

Claim 5 has been amended as follows:

5. (Amended) A method according to [any one of the preceding claims] claim 1, wherein layers of a suitable material are successively applied onto each other by three-dimensional printing and wherein each layer is bonded at desired positions to a preceding layer thereby allowing the removal of excess, non-adhering material.

Claim 8 has been amended as follows:

8. (Amended) A method according to claim 6 [or 7], wherein the binder is selected from the group of colloidal silica, polyvinyl acetate (PVA), starch adhesives, acrylates, polyvinyl alcohol, polyethylene oxide (PEO), ethylenevinyl acetate (EVA) and derivatives thereof.

Claim 9 has been amended as follows:

9. (Amended) A method according to [claims 6-8] claim 6, wherein the powder is selected from the group of ceramic materials, such as SiO_2, Al_2O_3, K_2O, Na_2O, CaO, Ba_2O, CrO_2, TiO_2, BaO, CeO_2, La_2O_3, MgO, ZnO, Li_2O and combinations thereof, and metals, such as alloys of gold, platinum, palladium, nickel, chromium, iron, aluminum, molybdenum, beryllium, copper, magnesium, cobalt and tin, and combinations of metals and ceramic materials.

Claim 10 has been amended as follows:

10. (Amended) A method according to [any one of claims 6-9] claim 6, wherein the layers are applied with a doctor blade.

Claim 11 has been amended as follows:

11. (Amended) A method according to [claims 6-10] claim 6, wherein the powder is applied in dispersed form.

Claim 14 has been amended as follows:

14. (Amended) A method according to [claims 11-13] claim 11, wherein at least one layer differs in composition from the others.

Claim 15 has been amended as follows:

15. (Amended) A method according to [claims 12-14] claim 12, wherein the powder is locally applied with a computer-controlled nozzle.

Claim 16 has been amended as follows:

16. (Amended) A method according to [claims 12-15] claim 12, wherein at least one of the powders has an average particle size less than 50 nm.

Claim 17 has been amended as follows:

17. (Amended) A method according to [any one of the preceding claims] claim 1, wherein the dental element is sintered at a temperature of 400-800 °C for a period between 10 minutes and 3 hours.

Claim 19 has been amended as follows:

19. (Amended) A method according to [any one of the preceding claims] claim 1, wherein the dental element is additionally shaped by grinding, filing, polishing, sanding, blasting or treatment with a ball bed.

Claim 20 has been amended as follows:

20. (Amended) A dental element obtainable by a method according to [any one of the preceding claims] claim 1.

"Express Mail" mailing label no EL 869 002 192 US
Date of Deposit February 22, 2002
I hereby certify that this correspondence and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1 10 on the date indicated above, in an envelope addressed to "Assistant Commissioner for Patents, Washington, DC 20231"

ROBERTS & MERCANTI, L LP

By: _____
Michael N Mercanti

K:\wpdocs\PATENT\310 Vereenigde\1028\appendix version wpd

Title: Method for making a dental element.

The invention relates to a method for making a functional dental element and to a dental element obtainable by such method.

Dental elements, such as crowns, are used in clinical practice mainly for replacing or correcting dental structures. This can involve partly or wholly lost teeth or molars. To date, materials for such elements have been examined in particular for technological/physical and chemical properties. Currently, in addition, the biological aspect plays an increasing role.

Dental elements can be fabricated from different materials. Examples include polymers, metals, composites, combinations of porcelain and metal, porcelain and other ceramic materials. Glass and ceramic materials form an ideal group of materials for dental elements, because they are hard, have a high wear resistance, are chemically inert in many media (biocompatibility), and can be simply formed into an aesthetic dental element. A broad application of these materials, however, is impeded by the inherent brittleness which is often the result of limitations in the fabricating process and of the material choice. Recent developments have led to different ceramic systems, such as sintered ceramic, glass-infiltrated ceramic and glass-ceramic of various compositions, which are less brittle.

The fabrication of dental elements in practice is a complex and time consuming affair. The products involved are fabricated on an individual basis since the exact form of the element is different for every tooth or molar in every individual. Conventional techniques that have been used often utilize a mold. Since this mold can typically be used only once, it will be clear that these techniques are very costly.

In the past, techniques have been proposed which supposedly enable simplification of the fabricating process of dental elements. Thus,

Abe et al., in Int. J. Japan Soc. Prec. Eng., vol. 30, no. 3, 1996, pp. 278-279, have proposed to carry out a selective laser sintering (SLS) with titanium. This technique, however, often gives rise to shrinkage. Also, microcracks may be formed, which renders the technique unsuitable for the fabrication of functional dental elements. In European patent application 0 311 214 it has been proposed to make a crown by milling. Milling does not provide the possibility of making colored elements. Moreover, the choice of suitable materials that can be processed by milling is limited. As noted, ceramic materials form an ideal group of materials for fabricating dental elements, because they are hard, highly wear-resistant and inert under many conditions.

U.S. Patent 5,690,490 describes a method for fabricating a concept model for a dental element by so-called pinhead molding. The method concerns the use of a kind of matrix printing technique, whereby material is sprayed on. The printer is controlled with a CAD/CAM program. The data which this program utilizes have been obtained from a laser scan of the tooth or the molar to be replaced.

In U.S. Patent 5,823,778, a method is described for the fabrication of a dental element whereby an impression of the teeth of a patient is obtained, which is subsequently used as a mold to make a copy of a dental element. This element is broken down in layers and each layer is scanned to obtain a three-dimensional computer model of the dental element.

One object of the present invention is to provide a technique whereby functional dental elements can be fabricated in a flexible and efficient manner. Another object is for the technique not to utilize a mold, and to enable making dental elements of polymeric, metallic or ceramic material, or of combinations thereof.

Surprisingly, it has presently been found that the stated objects are achieved by fabricating a dental element utilizing a three-dimensional printing technique.

Three-dimensional printing techniques are known per se, and described inter alia in European patent application 0 431 924, U.S. Patent 5,902,441 and international patent applications 94/19112, 97/26302 and 98/51747. For a description of the details of the technique, reference is made to the documents mentioned, which are therefore to be understood to be inserted herein.

The method according to the invention is in principle suitable for fabricating all types of dental elements. Examples include crowns (front and lateral teeth), inlays, overlays, onlays, partial crowns, fixations and veneers.

Preferably, in a patient in whom a dental element is to be replaced/placed, it is first accurately measured what shape the element is to have. Often, if possible, the starting point will be the shape of the tooth or molar, or the portion thereof that is to be replaced. It is preferred that measurement can take place in a manner which causes the patient as little inconvenience as possible. Particularly suitable techniques for measuring the shape for the dental element make use of optical scan techniques, in particular the use of lasers. In electronic form, data about the desired shape and dimensions are thereby obtained, which can be directly visualized in a computer. The electronic data about the shape and dimensions of the dental element are preferably used by a computer to control the execution of the three-dimensional printing technique. Another suitable method for measuring is by the CEREC-technique, Sirona Dental Systems GmbH, Bensheim, Germany.

In the three-dimensional printing technique, a suitable material is applied successively in layers, while specific steps are taken to ensure that each layer adheres to the preceding layer only at particular desired points. These specific steps are determined by the desired shape of the dental element and preferably controlled by the above-mentioned electronic data.

According to the invention, in the specific steps mentioned, use is made of a binder. This binder is applied to a preceding layer only at the

desired specific points. When to the binder a layer of, for instance, ceramic material from which the dental element is to be shaped, is applied, this will adhere only to the desired points. The non-adhering powder, which, accordingly, does not come into contact with the binder, can be simply removed.

The binder is preferably applied to the desired points by means of a print head, controlled by the computer on the basis of the data obtained upon measurement. Thereafter, a powder of the material that has been selected for the fabrication of the dental element is applied.

It is also possible to work upside down and to provide a layer of binder on the bottom side of a plate and subsequently to dip the binder in the powder. In this last variant, in a simple manner, different kinds of powder can be used for different layers. In both cases, the powder will bind only at points where binder has been applied. By repeating these steps sufficiently often, eventually the desired shape of the dental element is obtained. Finally, the binder can be removed by sintering.

According to an alternative to this method, first loose powder is laid in a powder bed, and thereafter binder is applied locally to obtain binding at the desired points. So, in fact, binder and powder can be applied in any sequential order.

The substrate on which work is done can be formed by a few layers of loose powder, so that the dental element to be formed can be readily detached from the substrate. In sintering, preferably a non-adhering substrate, for instance a metal plate, is used.

By virtue of the accuracy of the data that can be obtained by measuring with the aid of a laser technique, and by virtue of the accuracy with which a computer, on the basis of those data, can control a print head, the desired shape and dimensions of the dental element can be obtained in a highly accurate manner. While in the old-fashioned techniques it was necessary to additionally shape a dental element several times after it had

been formed in a mold, in the method according to the invention it normally suffices to carry out additional shaping a single time. Depending on the material that has been selected for the dental element, this additional shaping can be carried out by grinding, filing, polishing, sanding, blasting or by using a ball bed (a vibrating box containing abrasive balls).

The binder that is used in a method according to the invention should be soluble in a suitable solvent to a solution having a viscosity of 1-40 mPas, preferably about 3 mPas, and a loading degree of 3-10 wt.%. Thus the binder preferably has a relatively low molecular weight. Examples of suitable binders are colloidal silica, polyvinyl acetate (PVA), starch adhesives, acrylates, polyvinyl alcohol, polyethylene oxide (PEO), ethylenevinyl acetate (EVA) and derivatives thereof.

In the binder, often a colorant will be used. Suitable colorants are normally based on inorganic pigments having a high content of SiO_2, which renders them heat-resistant. These substances are known per se and commercially available, for instance, from Carmen, Espident GmbH, Ispringen, Germany, or VITA Zahnfabrik H. Rauter GmbH & co., Bad Zäckingen, Germany. Preferably, one or more of the following colorants are used: SiO, CoO, ZnO, Cr_2O_3, TiO_2, Sb_2O_3, Fe_2O_3 and MnO_2. Depending on the desired dental color, colorants are preferably used in amounts of up to 10% by weight, based on the weight of the powder. It is a particular advantage of the invention that at different points in the dental element, different colors can be used, if desired with a transparent outer layer, yielding a natural optical depth action. By virtue of these and other advantages, a dental element resembles a real tooth or molar extremely faithfully.

As noted, this binder can be applied to a suitable substrate with a print head. The print head is controlled by a computer on the basis of the data which have been obtained through prior measurements on the patient for the purpose of the dental element. Examples of suitable print heads are,

for instance, inkjet heads of the continuous or of the drop-on-demand type. The print head preferably has a spray nozzle of a diameter between 10 and 100 µm, more preferably between 25 and 75 µm and a length between 50 and 150 µm.

The powder that is used is selected on the basis of the material of which the dental element is eventually to be made. The powder can be used both in dry form and in dispersed form (slurry). Dispersions are preferably prepared in water or an aqueous solution. In addition, some organic solvents, such as isopropanol, can be used. The skilled person will be able to choose a suitable solvent on the basis of his normal knowledge. Depending on the particle size of the powder, it may be desirable to prepare a colloidal solution of the powder, for instance by addition of a base, salt and/or surfactant. When the powder is applied in dispersed form, preferably a drying step takes place each time before a next layer is applied.

According to a preferred embodiment of the invention, in each layer, several materials, of a different nature, are used. It is also possible, and highly favorable under certain circumstances, to modify the composition of the powder per layer to be applied. If per layer one type of material is applied, often a doctor blade (slurry) or counter rotating roller (dry powder) is used. If per layer more than one type of material is applied, this is applied locally, preferably by means of one or more computer-controlled nozzles capable of applying one or several materials. The materials can differ from each other in color or in properties. To be considered here are, for instance, (di)electric or piezoelectric properties. According to this embodiment, the material is preferably applied in the form of a slurry.

According to the invention, different kinds of materials, in particular both ceramic materials and metals, can be used. To be able to properly apply the material to the binder, the material is preferably in powder form. Depending on the size of the powder particles, the powder will

be applied in dry form or in dispersed form (slurry). A finer powder leads to a greater accuracy in achieving the desired shape of the dental element. Preferably, the powder has an average particle size (diameter) between 1 nm and 50 μm, more preferably smaller than 50 nm, still more preferably between 10 nm and 25 nm. The advantage of this is that sintering can be carried out in a short time and at a relatively low temperature. It has been found that the particle size referred to has a positive effect on the shape and sinterability of the dental element to be formed.

The powder can be made of any material that is conventionally used for forming dental elements. For this purpose, in particular metals and ceramic materials and combinations thereof are suitable.

When a ceramic material is used for forming the dental element, this is preferably selected from the group of SiO_2, Al_2O_3, K_2O, Na_2O, CaO, Ba_2O, CrO_2, TiO_2, BaO, CeO_2, La_2O_3, MgO, ZnO, Li_2O and combinations thereof. Optionally, ceramic compositions can further contain F or P_2O_5. Particularly suitable ceramic materials are the commercially available compositions Vitadur®, IPS Empress®, Dicor®, IPS Empress II®, Cerestone®, CerePearl® and In-Ceram®.

When a metal is used for forming the dental element, this is preferably selected from the group of alloys of gold, platinum, palladium, nickel, chromium, iron, aluminum, molybdenum, beryllium, copper, magnesium, cobalt and tin. Optionally, such an alloy can contain silicon. For a description of suitable alloys, reference is made to J.P. Moffa, Alternatives to Gold Alloys in Dentistry, DHEW Publication N. (NIH), 77-1227.

If desired, a lubricant can be added to the powder to facilitate applying the powder in layers. Examples of suitable lubricants are stearic acid or derived stearates, such as zinc or calcium stearate. A lubricant is preferably used in an amount of 1-2% by weight, based on the weight of the powder.

As mentioned, preferably, in alternation a layer of binder is applied and a layer of powder is applied thereto. The thickness of the layers of powder is preferably between 0.01 and 0.3 mm, more preferably between 20 and 100 μm, which is beneficial to the surface quality in the case of slight differences in height contour of the layers. The amount of binder per unit area of powder is fairly critical, but can simply be adjusted by a skilled person to the nature of the binder and powder used. Normally, the amount of binder will be between 0.005 and 0.3 grams per square centimeter of powder. Thus, layer by layer the desired dental element is built up.

When the last layer has been applied, excess powder which has not been bound is removed. This can be done by taking out the entire powder bed, turning it upside down and shaking gently. Residues can be removed by blowing, for instance with compressed air. Thereafter the powder particles can be bonded together by sintering. Preferably, prior to sintering, a debinding step is carried out, i.e., a treatment to remove the binder. Debinding can be carried out by means of heat or a suitable solvent, such as hexane. Because most binders have a relatively complex composition, debinding preferably takes place by heating using a temperature path (for instance from 20 to 500°C). Such a heating scheme can be simply coupled to a sintering step.

The duration and temperature at which sintering takes place will depend on the nature of the binder used and the powder. Normally, the duration of sintering will be between 10 minutes and 3 hours, while the temperature will typically be between 400 and 800°C. By sintering in such a way that only necks are formed, shrinkage due to the sintering step is minimal/negligible. Optionally, such shrinkage can be compensated by scaling the CAD model.

After sintering, the product obtained is preferably infiltrated, whereby a second phase is introduced into the product. As a result, the porosity of the product is considerably reduced. Densities in excess of 99%

are feasible. The infiltration can be carried out, for instance, in an oven, whereby the infiltration material is laid against the dental element. The infiltration material melts at a lower temperature than the material of the dental element. Through capillary action, the liquid infiltration material is infused (adsorbed). This step lasts a relatively short time and gives the dental element the desired properties. A suitable material for this is, for instance, glass-ceramic or a polymer. Preferably, a material is used which has been approved for use in dental elements, as described in the standard ADA no. 15 ANSY MD156.15-1962, which is to be understood to be inserted herein.

In particular cases, it has been found to be advantageous to subject the dental element to a thermal/chemical post-treatment, so that an optimum material (micro)structure is achieved. Thus, preferably, the dental element is briefly heated to a temperature between 60 and 150°C, more preferably between 80 and 130°C.

Instead thereof, or supplemental thereto, preferably a thermal compaction is accomplished. To that end, the dental element is heated to a temperature of at least 250°C, preferably at least 400°C and more preferably at least 500°C. This treatment contributes to the dental element obtaining particularly favorable properties.

When by one of the procedures described above the dental element has been formed, it may happen that it still needs to be additionally shaped to some extent. As has already been indicated, it is an advantage of the invention that it enables work to be done very accurately. Additional shaping will therefore be less laborious than in the techniques used heretofore. Ways in which additional shaping can be carried out include inter alia grinding, filing, polishing, sanding, blasting or treatment with a ball bed, depending on the selected material of the dental element.

The invention will presently be elucidated in and by the following examples.

Example 1

Two binders were prepared, having the following compositions:

A:	- polyvinyl acetate (Optapix PA 4 G)	2 wt.%
	- alcohol content (ethanol)	36 wt.%
	- glycol	2 wt.%
	- water	balance
B:	- polyvinyl acetate (Optapix PA 4 G)	2 wt.%
	- alcohol content (ethanol)	34 wt.%
	- glycol	1 wt.%
	- water	balance.

The compositions were prepared by manually adding the ingredients and stirring. Dissolving the polyvinyl acetate took 6 to 10 hours. Through the alcohol content, the surface tension could be set (a low surface tension proved favorable).

Example 2

With a bindjet printer (Z402 of the firm Z Corporation, Burlington MA USA) two cylinders were fabricated, using aluminum powder (type CT 3000SG) in combination with, successively, binder A and binder B (see Example 1). The properties of the powder are as follows:

Table 1: Chemical purity (% by weight)

Al_2O_3	>= 99.7
Na_2O	0.09
SiO_2	0.02
Fe_2O_3	0.02
CaO	0.02
MgO	0.10

Physical properties of the powder:

- Specific surface energy range BET:

  5.5 to 7.5 m^2/g

- Median particle size (MPS) d50:

  0.5 to 0.7 µm Cilas 850

- Particle size d90:

  1.0 to 2.0 µm Cilas 850

Ceramic properties of the powder:

- Green density: 2.22 g/cm^3
- Sintered density: 3.90 g/cm^3
- Shrinkage: 16.5%

The alumina powder is distributed homogeneously over the building platform by means of a divider (kind of razor blade/snow shovel/doctor blade). Thereafter, the layer of loose powder applied is compacted with a coated roller (teflon roller with polyester top layer), so that a smooth and flat layer of loose powder is formed (like flattened castor sugar). Through this compaction step, the initial porosity is rendered substantially lower, which is beneficial to the so-called green strength. The layer thickness of this powder layer is adjustable and has been set at 0.0625 mm (the size of this step determines the accuracy of following the product contours, and may be still smaller).

After the entire building surface has been provided with a new compacted powder layer, binder is locally applied to the loose powder by means of a binder jet printer (Z402 of the firm Z Corp., see also WO-A-97/26302). The location where the binder substance is to be printed has been determined beforehand by software. The binder penetrates so deeply into the loose powder that the powder particles in the new layer are bound to each other and that further the new layer is bonded to the preceding one.

With the cartridge and binder substance used, an optimum in binder amount has been found to be 10x printing per 100 g. The amount of binder at a given layer thickness is 0.0017 g/cm^2 per inkjet run. Accordingly, at 10x ink jetting this is 0.017 g/cm^2, which leads to a good consistency of the products (they can be handled).

By repeating the recoating and inkjet steps, eventually the entire product is built up in the green (= with binder) form.

The cylindrical products which have been produced had a diameter of 16.4 mm and a height of 18 mm; the mass is 5.3 g. The experiments were carried out in triplicate. The porosity of the alumina cylinders is 45% at a maximum (in the absence of compacting). Compacting leads to a lower porosity (estimate 55 – 70%).

The intermediate products were subsequently subjected to debinding and sintering according to a specific temperature-time path, whereby heating was done at a rate of 120°C per hour to a temperature of 1200°C. This temperature was maintained for 120 minutes, followed by cooling to room temperature, again at a rate of 120°C per hour. The sintered products were subsequently infiltrated with a glass ceramic to obtain the eventual strength and mechanical properties. The obtained properties satisfy the standard imposed on the functional dental elements.

Amended Claims

1. A method for fabricating a functional dental element, wherein a three-dimensional printing technique is used and wherein the element is subjected to infiltration by a second phase.

2. A method according to claim 1, wherein the infiltration is preceded by a debinding step and/or a sintering step.

3. A method according to claim 1 or 2, wherein the shape and dimensions of the dental element are measured in a patient while using an optical scan technique, preferably a laser technique.

4. A method according to claim 3, wherein the laser technique yields data about shape and dimensions in electronic form.

5. A method according to any one of the preceding claims, wherein layers of a suitable material are successively applied onto each other by three-dimensional printing and wherein each layer is bonded at desired positions to a preceding layer thereby allowing the removal of excess, non-adhering material.

6. A method according to claim 5, wherein the suitable material is a powder and wherein the bonding between the layers is realized by means of a binder.

7. A method according to claim 6, wherein a computer is used for controlling, on the basis of the data obtained upon measuring, a print head which applies the binder to specific, desired positions.

8. A method according to claim 6 or 7, wherein the binder is selected from the group of colloidal silica, polyvinyl acetate (PVA), starch adhesives, acrylates, polyvinyl alcohol, polyethylene oxide (PEO), ethylenevinyl acetate (EVA) and derivatives thereof.

9. A method according to claims 6-8, wherein the powder is selected from the group of ceramic materials, such as SiO_2, Al_2O_3, K_2O, Na_2O, CaO, Ba_2O, CrO_2, TiO_2, BaO, CeO_2, La_2O_3, MgO, ZnO, Li_2O and combinations thereof, and metals, such as alloys of gold, platinum, palladium, nickel, chromium, iron, aluminum, molybdenum,

beryllium, copper, magnesium, cobalt and tin, and combinations of metals and ceramic materials.

10. A method according to any one of claims 6-9, wherein the layers are applied with a doctor blade.

11. A method according to claims 6-10, wherein the powder is applied in dispersed form.

12. A method according to claim 11, wherein in a layer, powders of a different nature are used.

13. A method according to claim 12, wherein in a layer, powders of a different color are used.

14. A method according to claims 11-13, wherein at least one layer differs in composition from the others.

15. A method according to claims 12-14, wherein the powder is locally applied with a computer-controlled nozzle.

16. A method according to claims 12-15, wherein at least one of the powders has an average particle size less than 50 nm.

17. A method according to any one of the preceding claims, wherein the dental element is sintered at a temperature of 400-800 °C for a period between 10 minutes and 3 hours.

18. A method according to claim 17, wherein after sintering an infiltration with a glass-ceramic or a polymer is carried out.

19. A method according to any one of the preceding claims, wherein the dental element is additionally shaped by grinding, filing, polishing, sanding, blasting or treatment with a ball bed.

20. A dental element obtainable by a method according to any one of the preceding claims.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau

(43) International Publication Date
1 March 2001 (01.03.2001)

PCT

(10) International Publication Number
WO 01/13814 A1

(51) International Patent Classification[7]: A61C 13/00, B29C 67/00, A61K 6/083

(21) International Application Number: PCT/NL00/00585

(22) International Filing Date: 24 August 2000 (24.08.2000)

(25) Filing Language: Dutch

(26) Publication Language: English

(30) Priority Data:
1012897 24 August 1999 (24.08.1999) NL

(71) Applicant *(for all designated States except US)*: **NEDERLANDSE ORGANISATIE VOOR TOEGEPAST-NATUURWETENSCHAPPELIJK ONDERZOEK TNO** [NL/NL]; Schoemakerstraat 97, NL-2628 VK Delft (NL).

(72) Inventor; and

(75) Inventor/Applicant *(for US only)*: **FEENSTRA, Frits, Kornelis** [NL/NL]; Rosa Manuslaan 48, NL-2642 DR Pijnacker (NL).

(74) Agent: **PRINS, A., W.**; Vereenigde, Nieuwe Parklaan 97, NL-2587 BN The Hague (NL).

(81) Designated States *(national)*: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States *(regional)*: ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:
— *With international search report.*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 01/13814 A1

(54) Title: METHOD FOR MAKING A DENTAL ELEMENT

(57) Abstract: The present invention relates to a method for fabricating a functional dental element, such as a crown. According to the invention, use is made of three-dimensional printing technique. The major advantages of the invention are that no mold is needed anymore, which entails a considerable saving of costs, that a great accuracy is achieved, and that the element can be made of different materials.

Please type a plus sign (+) inside this box → [+]

PTO/SB/01 (10-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e))

Attorney Docket Number	310.1028
First Named Inventor	Feenstra, Frits Kornelis
COMPLETE IF KNOWN	
Application Number	10 / 069,329
Filing Date	02/22/2002
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Method For Making A Dental Element

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 02/22/2002 as United States Application Number or PCT International Application Number 10/069,329 and was amended on (MM/DD/YYYY) 02/22/2002 (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed

Prior Foreign Application		Foreign Filing Date	Priority	Certified Copy Attached? YES	NO
PCT/NL00/00585	PCT	24 August2000	☒	☐	☒
NL1012897	Netherlands	24 August1999	☒	☐	☒
			☐	☐	☐
			☐	☐	☐

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

1100 9329 .043002

Please type a plus sign (+) inside this box → [+]

PTO/SB/01 (10-00)
Approved for use through 10/31/2002 OMB 0651-0032
U S. Patent and Trademark Office, U S DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label 022856 OR ☐ Correspondence address below

PATENT TRADEMARK OFFICE

Name

Address

Address

City | State | ZIP

Country | Telephone | Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR : ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) Frits Kornelis | Family Name or Surname Feenstra

Inventor's Signature | Date March 12 2002

Residence: City Pijnacker NLX | State | Country NL | Citizenship Dutch

Mailing Address Rosa Manuslaan 48

Mailing Address 2642 DR

City Pijnacker | State | ZIP | Country The Netherlands

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) | Family Name or Surname

Inventor's Signature | Date

Residence: City | State | Country | Citizenship

Mailing Address

Mailing Address

City | State | ZIP | Country

☐ Additional inventors are being named on _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.